NIH in the Post Doubling Era: Realities and Strategies for the Future



Competition for funds from the NIH and other sponsors, intensifying year by year, now stands at an unprecedented level, and shows no sign of abating. Never before have so many established investigators faced so much uncertainty about their longevity as active scientists. Never before have so many novices faced so many disincentives to entering or continuing a research career.

Dr. William F. Raub, NIH Associate Director for Research and Training, strategy paper, 1982



The Apparent Paradox:

The budget of NIH has doubled

- but -

Success rates have dropped by a third

What is happening?



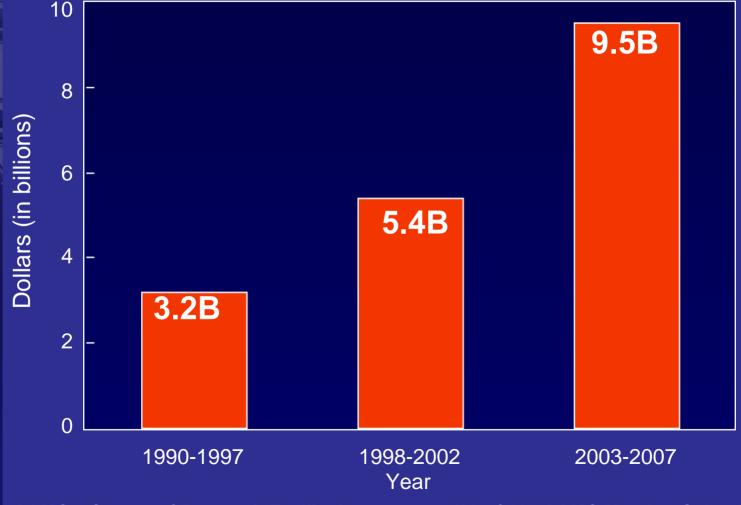
What Is Really Happening?

- Facilities building and faculty growth throughout U.S.
 research institutions
- 100% growth in applications and 75% growth in applicants per year by 2007
- Budget appropriations below inflation since 2003
- Budget cycling phenomenon



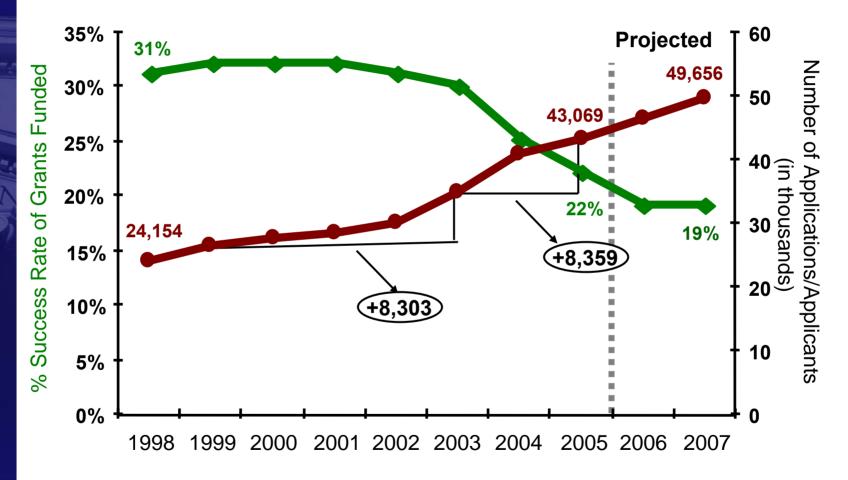


Investment in Research Facilities at U.S. Medical Schools





National Research Capacity and Demand for Grants Surges at End of Doubling Period, Success Rates Fall

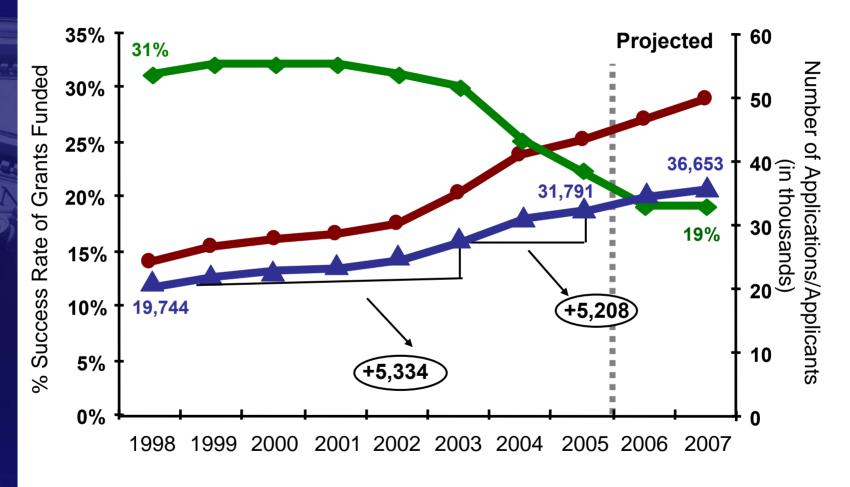








National Research Capacity and Demand for Grants Surges at End of Doubling Period, Success Rates Fall







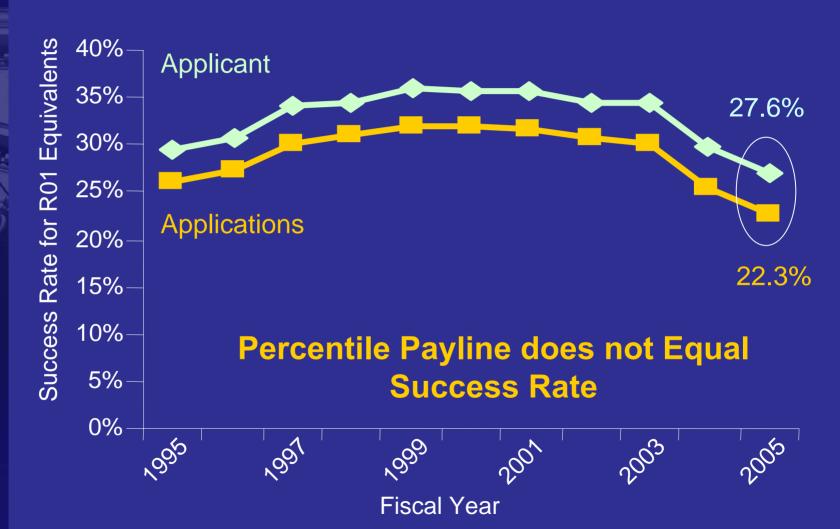




Applicants

What are My Chances of Being Funded?

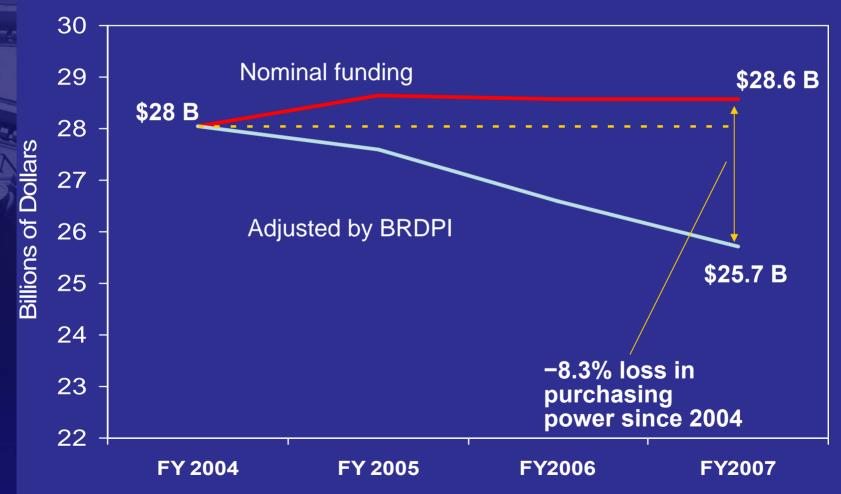
Success Rate <u>per Application</u> Understates Funding Rate <u>per Applicant</u>





Success Rate files as of May 3, 2006. Program srf_indiv_060103_rfm Individuals are determined using the pi_profile_person_id_in_IMPACFI

NIH's Challenge: Maintain Research Enterprise Vitality in Light of Reduced Purchasing Power and Increased Demand

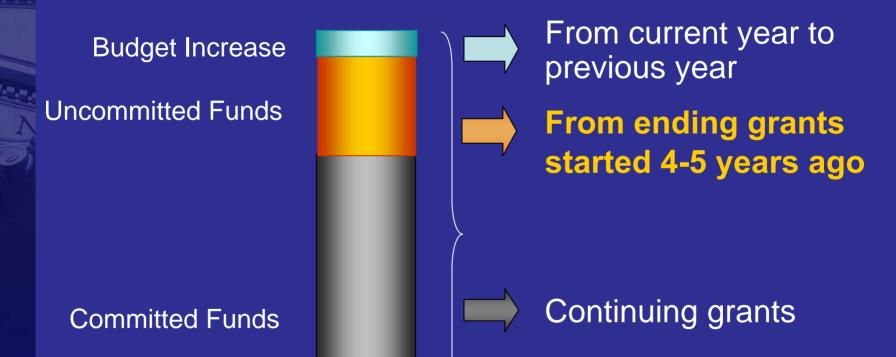




Note: BRDPI is the Biomedical Research and Development Price Index Elias A. Zerhouni, M.D.

The Budget Cycling Phenomenon:

What Funds are Available in any One Year?





NIH Appropriations

NIH Congressional Appropriations





The Bottom Line:

Demand for Grants "Took Off" Just as NIH Budget Was "Landing"!





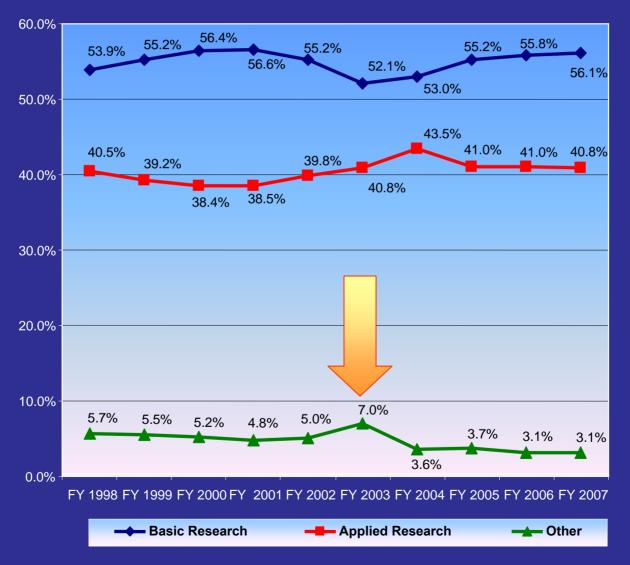
- Post doubling "boom" in applications has led to a supply/demand imbalance
- Success rate drop is due to
 - Near 100% increased demand for grants
 - 40% increased costs of grants
 - Decrease in inflation adjusted budget
- Budget cycling effect will slightly improve supply vs. demand of grants in 2007 and beyond



Common Misperceptions



Common Misperception 1: NIH is Over-emphasizing Applied Research





NIBIB Leading Roles in Roadmap/Blueprint

- Innovation in Molecular Imaging Probes
- Molecular Libraries Screening Instrumentation
- New Ways of Imaging Neural Activity
- Enabling Technologies for Tissue Engineering and Regenerative Medicine

(NIBIB was Peer Review lead for all of the above)

- National Centers for Biomedical Computing
- Nanomedicine
- New Ways to Image Neural Activity
- Clearinghouse for Neuroinformatics Tools and Databases



FY06 Roadmap and Blueprint Funding Impact on NIBIB

(Dollars in Thousands)

	NIBIB Contribution	Roadmap (3,559)	Blueprint (190)
	NIBIB Lead Robotics/Instrumentation Tech. Development	1,860	
V	Develop High Resolution Probes for Cellular Imaging	3,600	
	New Ways to Image Neural Activity		1,500
	Clearinghouse for Neuroinformatics Tools and Databas	es	3,000
	NIBIB Collaboration National Centers for Biomedical Computing	4,000	
	TOTAL	9,460	4,500



NIBIB Special Funding Considerations

- New investigators who have scores within 5 percentile points of the NIBIB payline will be funded
- Each year, fund two or more R01 applications that have the potential to produce an unusually high impact, but while meritorious, missed the established payline due to uncertainties inherent in the research
- Special funding consideration will be given to research grant applications that bridge and integrate the life and physical sciences



Where Do We Go From Here? Adaptive Strategies Based on Key Principles



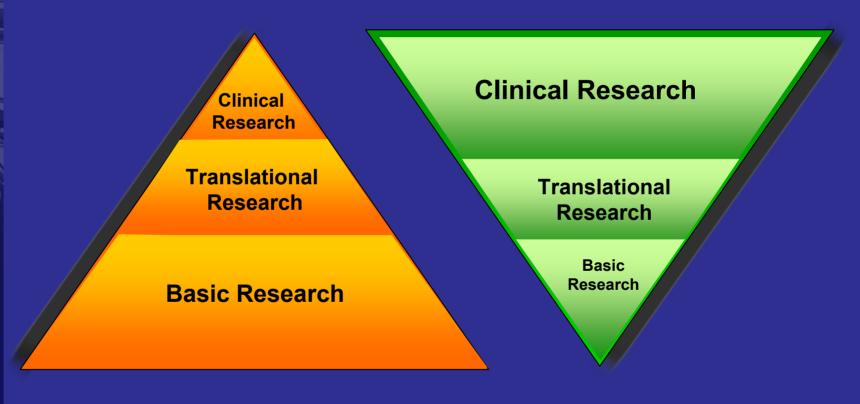
Principle 1: Protect Core Values and Mission



Discovery and Generation of New Knowledge



Balanced National Biomedical Research Portfolio

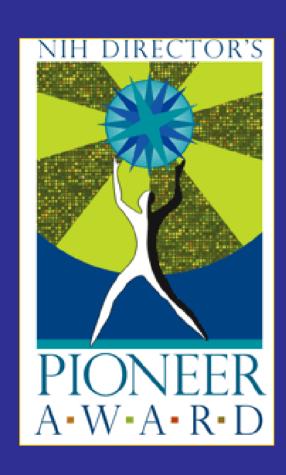




NIH - \$28B

Private Sector - \$59B

NIH Director's Pioneer Awards



- Support individuals with untested, potentially groundbreaking ideas in many areas of science, from neuroscience to chemistry to behavioral science
- Highly competitive
 - 840 applications for 7 funded awards in 2006
 - Institutes and Centers contributed to enable funding more awards (total of 13)



Principle 2: Protect the Future



Pathway to Independence Award

- Five years of support consisting of two phases
- Phase I provides 1-2 years of mentored support for advanced post doctoral fellows- 90k per year
- Phase II provides up to 3 years of independent RO1 equivalent research support- 250k per year
- NIH aiming for 150-200 awards per year
 - Received ~700 applications so far
 - First award announcements this Fall

http://grants1.nih.gov/grants/new_investigators/index.htm





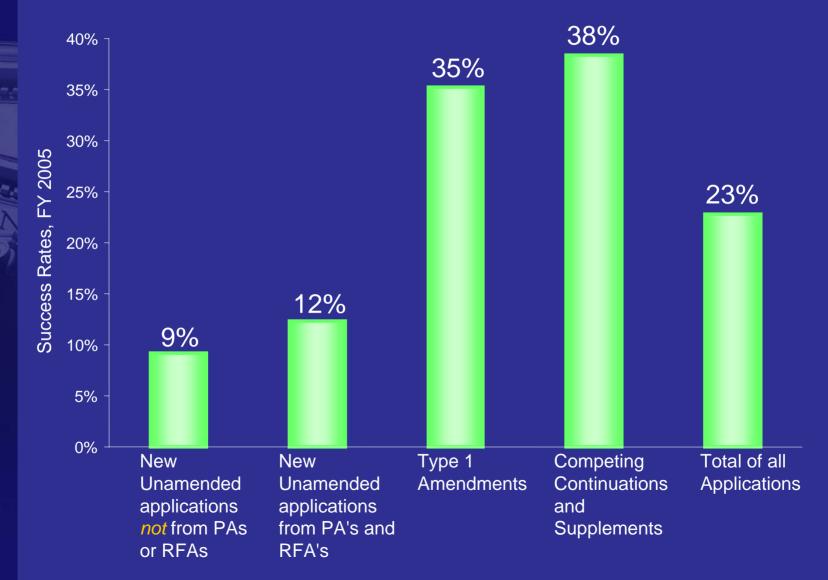
Principle 3: Focus on Balancing Supply/Demand

- Actively manage supply/demand imbalance by adjusting programs
- Recycle budgets into larger number of competing grants (+3% in 2007)
- Prioritize projects to maintain reasonable investigator-initiated success rates
- Maximize research and development activities by managing costs across all portfolios
- Facilitate peer-review processes to reduce need for multiple applications



Misperceptions in the Media

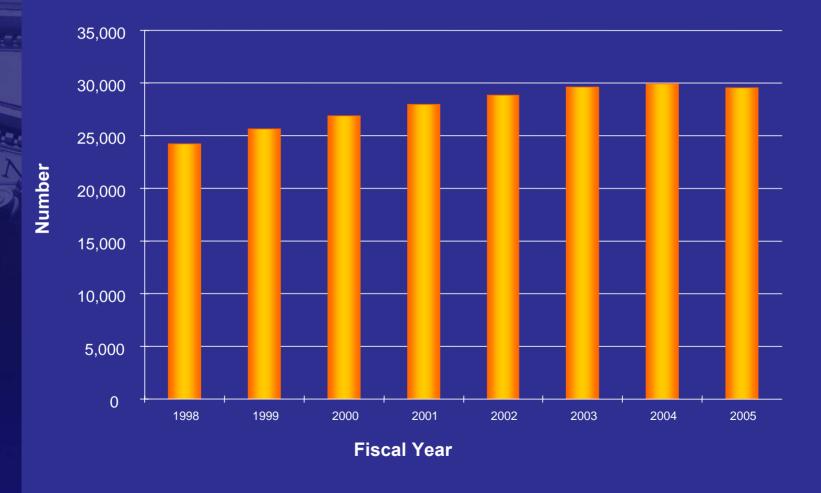
Declines in Funding NIH R01 Grants





Misperceptions in the Media

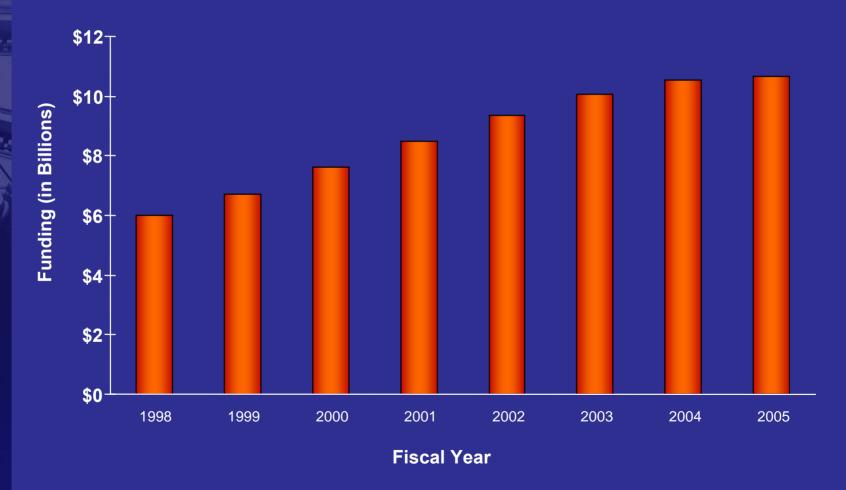
Number of R01 Equivalents Decreased





Misperceptions in the Media

R01 Funding Decreased

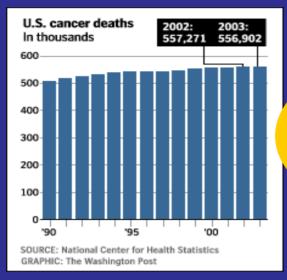




R01 Equivalent* Includes R01, R23, R29 and R37

Principle 4:

Proactive Communication about Investment in NIH





For the first time in recorded cancer deaths in Average investment tates have fallen

~\$8.60

per year

ancer survivors

 Improved effectiveness of early tection and screening

20-year investment per American: e doubling, new nimally invasive

treatments for cancer multiplied

New drugs developed for cancer prevention



NIH Communication Goals

- Increase awareness of the benefits every American receives from public investment in biomedical research
- Convey to all audiences the role research will play in transforming medicine in the 21st century
- Persuade the public and private sectors of the importance of sustaining momentum in advancing knowledge and discovery





Research Results for the Public

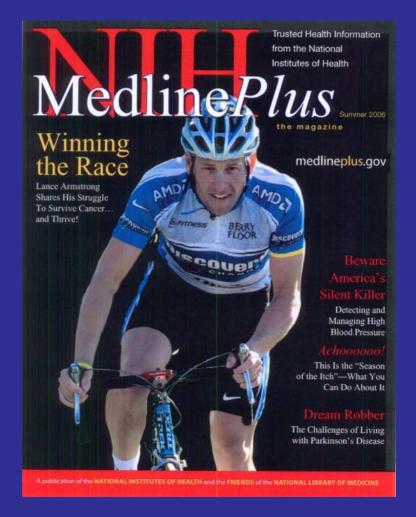


- NIH Homepage
 - More than 40 Fact Sheets
 - State-by-state Funding Data
 - Newsletter from NIH Director

http://www.nih.gov/about/researchresultsforthepublic/index.htm



Coming to Your Doctor's Office: Free, Authoritative, Up-to-Date Health Information





22 Million Readers, Plus Website Visitors



Intelligence Report®

By Lyric Wallwork Winik Published: July 16, 2006

E-mail story 🖹 Print story

[Sunday Freebie]

Reduce Risk of Diabetes

Some women develop a type of diabetes while pregnant. It usually goes away after giving birth, but studies show that 20% to 50% may develop type 2 diabetes within 10 years. And the child is at risk for diabetes and obesity. To reduce the odds. keep your weight down, exercise, get tested for diabetes, breastfeed and help your family to eat healthy. For a free tip sheet from the National Diabetes Education Program, visit ndep.nih.gov or call 1-800-438-5383.

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ngle again, Uma Thurman ask

An Interview By Jeanne Wolf

Mentions "National Institutes of Health" April through August 2006

Average: 4,200 per month

(Not including specific Institute and Center programs)

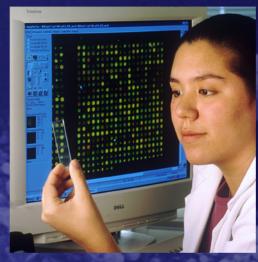


NIH Beyond the Beltway



Principle 5: Promote NIH's Vision for the Future







Predictive --> Personalized --> Preemptive





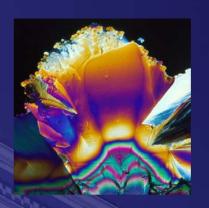




Participatory









Transforming medicine and health through discovery





